SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

3235-0287 0.5

OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of F Gracias Antonio	1 5		2. Issuer Name and Ticker or Trading Symbol Tesla, Inc. [TSLA]	1	tionship of Reporting Person(s all applicable)	s) to Issuer		
(Last) (Fi C/O TESLA, INC.		3. Date of Earliest Transaction (Month/Day/Year) 08/18/2020	x	Director Officer (give title below)	10% Owner Other (specify below)			
3500 DEER CREEK (Street) PALO ALTO C.		94304	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (Si	State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/18/2020		P ⁽¹⁾		70 ⁽²⁾	A	\$377.42	1,304,355	Ι	by AJG Growth Fund ⁽³⁾
Common Stock	08/19/2020		P ⁽¹⁾		25(2)	A	\$375.71	1,304,380	I	by AJG Growth Fund ⁽³⁾
Common Stock	11/30/2020		P ⁽¹⁾		10	A	\$567.6	1,304,390	I	by AJG Growth Fund ⁽³⁾
Common Stock								2,545	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		(Month/Day/Year)		d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(Instr. 4)		

Explanation of Responses:

1. These shares were acquired pursuant to third-party discretionary investment authority for the benefit of AJG Growth Fund LLC without the knowledge or direction of the reporting person. This Form 4 was filed as promptly as practicable following a determination that these shares had been purchased for an account attributable to the reporting person. For purposes of the short-swing profit recovery provisions of Section 16(b) of the Securities Exchange Act of 1934, the indirect acquisition of these shares may be deemed to be matchable with the reporting person's sale of 433 shares of Issuer common stock on February 9, 2021. The reporting person has paid to the Issuer \$47,824.04, which represents the full amount of the theoretical profit realized in connection with the short-swing transaction, without giving effect to direct transaction costs.

2. As adjusted to give effect to a five-for-one forward split of the Issuer's common stock in the form of a stock dividend distributed on August 28, 2020.

3. The reporting person is the manager of AJG Growth Fund LLC and may be deemed to have an indirect pecuniary interest in the shares owned by AJG Growth Fund LLC. The reporting person disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.

> By: Aaron Beckman, Power of 04/05/2021 Attorney For: Antonio Gracias

> > Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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