FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL	

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

5. Relationship of Reporting Person(s) to Issuer

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

1. Name and Address of Reporting  $\mathsf{Person}^*$ 

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name **and** Ticker or Trading Symbol

<u>Guillen Jerome M</u>				Tesla, Inc. [ TSLA ]								(Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 3500 DEER CREEK RD.					te of Earliest Trans $0/2021$	action (	Month	/Day/Year)		X Officer (give title Other (specify below)							
					President, Automative												
(Street)					mendment, Date	of Origin	al File	d (Month/Day/	6		dual or Joint/Group Fi						
PALO ALTO	CA									X	Form filed by One F Form filed by More						
(City)	(State)	(Zip)										, , , , , , , , , , , , , , , , , , , ,					
		Table I - N	lon-Deriva	ative	Securities A	cquire	ed, [	Disposed o	f, or E	Benefici	ally C	Dwned					
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Yo		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (1	ction	4. Securities Acquired (A) or D				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
						Code	v	Amount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)		(,			
Common Stock			03/10/202	21		M <sup>(1)</sup>		10,000	Α	\$55.3	32	60,598	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$660.5	15 <sup>(3)</sup>	60,398	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$662.7	72(4)	60,198	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$664.6	65 <sup>(5)</sup>	59,798	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		500	D	\$666.1	78(6)	59,298	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		687	D	\$668.2	<b>51</b> <sup>(7)</sup>	58,611	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		413	D	\$669.2	29(8)	58,198	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		300	D	\$670.1	57 <sup>(9)</sup>	57,898	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$671.4	75 <sup>(10)</sup>	57,698	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$673.3	88(11)	57,298	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$674.70	05(12)	57,098	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$675.60	68(13)	56,698	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		300	D	\$677.74	43(14)	56,398	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$679.39	95(15)	55,998	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		500	D	\$680.3	7(16)	55,498	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		500	A	\$681.4	72(17)	54,998	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		600	D	\$682.8	3(18)	54,398	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		500	D	\$684.53	38(19)	53,898	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$687.3	9(20)	53,698	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		100	D	\$688.	.35	53,598	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$690.3	15(21)	53,398	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$695.23	35 <sup>(22)</sup>	53,198	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$697.23	35 <sup>(23)</sup>	52,998	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$698.4	9(24)	52,598	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		200	D	\$700.03	35 <sup>(25)</sup>	52,398	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$701.4	73(26)	51,998	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		400	D	\$702.80	08(27)	51,598	D				
Common Stock			03/10/202	21		S <sup>(1)</sup>		100	D	\$703.	.61	51,498	D				

Common Stock	03/10/2021	S <sup>(1)</sup>	200	D	\$706.605(28)	51,298	D	
Common Stock	03/10/2021	S <sup>(1)</sup>	100	D	\$707.83	51,198	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (	ransaction of ode (Instr. Derivative		6. Date Exer Expiration D (Month/Day/	ate	7. Title and of Securitie Underlying Derivative (Instr. 3 an	es Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Non- Qualified Stock Option (right to buy)	\$55.32	03/10/2021		M <sup>(1)</sup>			10,000	(2)	10/16/2028	Common Stock	10,000	\$0	476,975	D	

### **Explanation of Responses:**

- 1. The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 26, 2020.
- 2. 6/60th of the shares subject to the option became vested and exercisable on April 1, 2019, and 1/60th of the shares subject to the option shall become vested and exercisable each month thereafter, so that all such shares subject to this option shall be fully vested as of October 1, 2023.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$660.410 to \$660.620, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$662.400 to \$663.040, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$664.150 to \$665.020, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$665.760 to \$666.670, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$667.830 to \$668.800, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$668.840 to \$669.490, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$669.870 to \$670.560, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 10. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$671.350 to \$671.600, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$673.070 to \$673.680, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 12. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$674.400 to \$675.010, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 13. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$675.410 to \$675.820, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 14. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$677.510 to \$677.990, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 15. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$678.990 to \$679.680, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 16. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$680.060 to \$680.810, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 17. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$681.140 to \$681.890, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 18. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$682.250 to \$683.110, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 19. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$684.130 to \$684.970, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 20. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$687.130 to \$687.650, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 21. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$689.870 to \$690.760, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 22. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$694.980 to \$695.490, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- 23. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$697.050 to \$697.420, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 24. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$698.140 to \$698.920, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 25. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$699.590 to \$700.480, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 26. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$701.060 to \$701.820, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 27. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$702.350 to \$703.140, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 28. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$706.600 to \$706.610, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

#### Remarks:

This Form 4 is the first of two Form 4s being filed by the Reporting Person relating to the same event. The Form 4 has been split into two filings to cover all 33 individual transactions that occurred on the same Transaction Date, because the SEC's EDGAR filing system limits a single Form 4 to a maximum of 30 separate transactions. Each Form 4 will be filed by the Reporting Person.

By: Aaron Beckman, Power of
Attorney For: Jerome M. 03/11/2021
Guillen

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.