SEC Form 4

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Taneja Vaibhav			2. Issuer Name <b>and</b> Ticker or Trading Symbol Tesla, Inc. [ TSLA ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) C/O TESLA, IN		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/05/2021	x	Officer (give title below) Chief Accounti	Other (specify below)		
3500 DEER CREEK ROAD (Street) PALO ALTO CA 94304		94304	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	aviantian Committee Annuined Disposed of an De					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(IIISEI: 4)
Common Stock	06/05/2021		M <sup>(1)</sup>		385	A	\$0.0	18,211	D	
Common Stock	06/05/2021		<b>M</b> <sup>(1)</sup>		539	A	\$0.0	18,750	D	
Common Stock	06/05/2021		M <sup>(1)</sup>		130	A	\$0.0	18,880	D	
Common Stock	06/05/2021		<b>M</b> <sup>(1)</sup>		364	A	\$0.0	19,244	D	
Common Stock	06/05/2021		M <sup>(1)</sup>		2,250	A	\$0.0	21,494	D	
Common Stock	06/07/2021		<b>S</b> <sup>(2)</sup>		1,813	D	\$587.836(3)	19,681	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 10. 11. Nature Conversion Transaction Derivative Date Execution Date, nf Expiration Date of Securities Derivative derivative Ownership of Indirect Security (Instr. 3) or Exercise if any Derivative (Month/Day/Year) Securities (Month/Day/Year) Code (Instr. Underlying Security Form: Beneficial 8) Direct (D) Price of (Month/Dav/Year) Securities **Derivative Security** (Instr. 5) Beneficially Ownership Derivative Acauired (Instr. 3 and 4) Owned or Indirect (Instr. 4) Security (A) or Following (I) (Instr. Disposed of Reported 4) Transaction(s) (D) (Instr. 3. 4 and 5) (Instr. 4) Amount or Number Date Expiration of v (A) (D) Title Shares Code Exercisable . Date Restricted Common \$<mark>0.0</mark> 06/05/2021 М 385 (4) (4) 385 \$<mark>0.0</mark> 385 D Stock Unit Stock Restricted Common (5) (5) 539 \$0.0 06/05/2021 М 539 \$<mark>0.0</mark> 2,158 D Stock Unit Stock Restricted Common (6) (6) 130 \$<mark>0.0</mark> 06/05/2021 130 \$<mark>0.0</mark> 1,293 D Μ Stock Unit Stock Restricted Common (7) (7) 364 \$<mark>0.0</mark> 06/05/2021 Μ 364 \$<mark>0.0</mark> 2,181 D Stock Unit Stock Restricted Common (8) (8) 2,250 06/05/2021 2,250 18,000 D \$<mark>0.0</mark> М \$<mark>0.0</mark> Stock Unit Stock

### **Explanation of Responses:**

1. Shares of the Issuer's common stock were issued to the reporting person upon the vesting of restricted stock units on June 5, 2021.

2. PURSUANT TO THE ISSUER'S EQUITY PLAN AND POLICIES, THESE SHARES OF COMMON STOCK WERE AUTOMATICALLY WITHHELD AND SOLD BY THE ISSUER TO SATISFY THE REPORTING PERSON'S TAX WITHHOLDING OBLIGATIONS RELATED TO THE VESTING OF RESTRICTED STOCK UNITS REPORTED HEREIN.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$587.834 to \$587.851, inclusive. The reporting person undertakes to provide Tesla, Inc., any security holder of Tesla, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. 1/16th of the total restricted stock units initially subject to this award vested on December 5, 2017, and 1/16th of the total units initially subject to this award vest every three months thereafter, so that all such shares subject to this award will be fully vested as of September 5, 2021.

5. 1/16th of the total restricted stock units initially subject to this award vested on September 5, 2018, and 1/16th of the total units initially subject to this award vest every three months thereafter,

so that all such shares subject to this award will be fully vested as of June 5, 2022.

6. 1/20th of the total restricted stock units initially subject to this award vested on March 5, 2019, and 1/20th of the total units initially subject to this award vest every three months thereafter, so that all such shares subject to this award will be fully vested by December 5, 2023.

7. 1/16th of the total restricted stock units initially subject to this award vested on March 5, 2019, and 1/16th of the total units initially subject to this award vest every three months thereafter, so that all such shares subject to this award will be fully vested as of December 5, 2022.

8. 1/8th of the total restricted stock units initially subject to this award vested on December 5, 2019, and 1/16th of the total units initially subject to this award vest every quarter thereafter, so that all such shares subject to this award will be fully vested on June 5, 2023.

By: Aaron Beckman, Power of 06/08/2021 Attorney For: Vaibhav Taneja Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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